# Chapter 5: Confidentiality Policies

- Overview
  - What is a confidentiality model
- Bell-LaPadula Model
  - General idea
  - Informal description of rules

#### Overview

- Goals of Confidentiality Model
- Bell-LaPadula Model
  - Informally
  - Example Instantiation

# **Confidentiality Policy**

- Goal: prevent the unauthorized disclosure of information
  - Deals with information flow
  - Integrity incidental
- Multi-level security models are best-known examples
  - Bell-LaPadula Model basis for many, or most, of these

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## Bell-LaPadula Model, Step 1

- Security levels arranged in linear ordering
  - Top Secret: highest
  - Secret
  - Confidential
  - Unclassified: lowest
- Levels consist of security clearance L(s)
   Objects have security classification L(o)

# Example

security level	subject	object
Top Secret	Tamara	Personnel Files
Secret	Samuel	E-Mail Files
Confidential	Claire	Activity Logs
Unclassified	Ulaley	Telephone Lists

- Tamara can read all files
- Claire cannot read Personnel or E-Mail Files
- Ulaley can only read Telephone Lists

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# **Reading Information**

- Information flows *up*, not *down* 
  - "Reads up" disallowed, "reads down" allowed
- Simple Security Condition (Step 1)
  - Subject *s* can read object *o* iff  $L(o) \le L(s)$  and *s* has permission to read *o* 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no reads up" rule

# Writing Information

- Information flows up, not down
  - "Writes up" allowed, "writes down" disallowed
- \*-Property (Step 1)
  - Subject *s* can write object *o* iff  $L(s) \le L(o)$  and *s* has permission to write *o* 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
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## Basic Security Theorem, Step 1

- If a system is initially in a secure state, and every transition of the system satisfies the simple security condition, step 1, and the \*property, step 1, then every state of the system is secure
  - Proof: induct on the number of transitions

#### Bell-LaPadula Model, Step 2

- Expand notion of security level to include categories
- Security level is (*clearance*, *category set*)
- Examples
  - ( Top Secret, { NUC, EUR, ASI } )
  - ( Confidential, { EUR, ASI } )
  - ( Secret, { NUC, ASI } )

#### Levels and Lattices

- (A, C) dom (A', C') iff  $A' \leq A$  and  $C' \subseteq C$
- Examples
  - (Top Secret, {NUC, ASI}) *dom* (Secret, {NUC})
  - (Secret, {NUC, EUR}) *dom* (Confidential,{NUC, EUR})
  - (Top Secret, {NUC}) ¬*dom* (Confidential, {EUR})
- Let *C* be set of classifications, *K* set of categories. Set of security levels  $L = C \times K$ , *dom* form lattice
  - lub(L) = (max(A), C)
  - $glb(L) = (min(A), \emptyset)$

#### Levels and Ordering

- Security levels partially ordered
  - Any pair of security levels may (or may not) be related by *dom*
- "dominates" serves the role of "greater than" in step 1
  - "greater than" is a total ordering, though

# **Reading Information**

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- Simple Security Condition (Step 2)
  - Subject s can read object o iff L(s) dom L(o) and s has permission to read o
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no reads up" rule

# Writing Information

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    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
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# Basic Security Theorem, Step 2

- If a system is initially in a secure state, and every transition of the system satisfies the simple security condition, step 2, and the \*-property, step 2, then every state of the system is secure
  - Proof: induct on the number of transitions
  - In actual Basic Security Theorem, discretionary access control treated as third property, and simple security property and \*-property phrased to eliminate discretionary part of the definitions — but simpler to express the way done here.

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#### Problem

- Colonel has (Secret, {NUC, EUR}) clearance
- Major has (Secret, {EUR}) clearance
  - Major can talk to colonel ("write up" or "read down")
  - Colonel cannot talk to major ("read up" or "write down")
- Clearly absurd!

#### Solution

- Define maximum, current levels for subjects
  *maxlevel(s) dom curlevel(s)*
- Example
  - Treat Major as an object (Colonel is writing to him/her)
  - Colonel has maxlevel (Secret, { NUC, EUR })
  - Colonel sets *curlevel* to (Secret, { EUR })
  - Now L(Major) dom curlevel(Colonel)
    - Colonel can write to Major without violating "no writes down"
  - Does L(s) mean curlevel(s) or maxlevel(s)?
    - Formally, we need a more precise notation

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# DG/UX System

- Provides mandatory access controls
  - MAC label identifies security level
  - Default labels, but can define others
- Initially
  - Subjects assigned MAC label of parent
    - Initial label assigned to user, kept in Authorization and Authentication database
  - Object assigned label at creation
    - Explicit labels stored as part of attributes
    - Implicit labels determined from parent directory

## MAC Regions



#### IMPL\_HI is "maximum" (least upper bound) of all levels IMPL\_LO is "minimum" (greatest lower bound) of all levels

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## Directory Problem

- Process *p* at MAC\_A tries to create file */tmp/x*
- */tmp/x* exists but has MAC label MAC\_B
  - Assume MAC\_B dom MAC\_A
- Create fails
  - Now *p* knows a file named *x* with a higher label exists
- Fix: only programs with same MAC label as directory can create files in the directory
  - Now compilation won't work, mail can't be delivered

## Multilevel Directory

- Directory with a set of subdirectories, one per label
  - Not normally visible to user
  - p creating /*tmp*/x actually creates /*tmp*/d/x where d is directory corresponding to MAC\_A
  - All *p*'s references to /tmp go to /tmp/d
- p cd's to /tmp/a, then to ...
  - System call stat(".", &buf) returns inode number of real directory
  - System call dg\_stat(".", &buf) returns inode of /tmp

- Requirement: every file system object must have MAC label
- 1. Roots of file systems have explicit MAC labels
  - If mounted file system has no label, it gets label of mount point
- 2. Object with implicit MAC label inherits label of parent

- Problem: object has two names
  - $\frac{x}{y/z}$ ,  $\frac{a}{b}/c$  refer to same object
  - y has explicit label IMPL\_HI
  - b has explicit label IMPL\_B
- Case 1: hard link created while file system on DG/UX system, so ...
- 3. Creating hard link requires explicit label
  - If implicit, label made explicit
  - Moving a file makes label explicit

- Case 2: hard link exists when file system mounted
  - No objects on paths have explicit labels: paths have same *implicit* labels
  - An object on path acquires an explicit label: implicit label of child must be preserved

so ...

4. Change to directory label makes child labels explicit *before* the change

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- Symbolic links are files, and treated as such, so ...
- 5. When resolving symbolic link, label of object is label of target of the link
  - System needs access to the symbolic link itself

# Using MAC Labels

- Simple security condition implemented
- \*-property not fully implemented
  - Process MAC must equal object MAC
  - Writing allowed only at same security level
- Overly restrictive in practice

# MAC Tuples

- Up to 3 MAC ranges (one per region)
- MAC range is a set of labels with upper, lower bound
  - Upper bound must dominate lower bound of range
- Examples
  - 1. [(Secret, {NUC}), (Top Secret, {NUC})]
  - 2. [(Secret,  $\emptyset$ ), (Top Secret, {NUC, EUR, ASI})]
  - 3. [(Confidential, {ASI}), (Secret, {NUC, ASI})]

## MAC Ranges

- 1. [(Secret, {NUC}), (Top Secret, {NUC})]
- 2. [(Secret,  $\emptyset$ ), (Top Secret, {NUC, EUR, ASI})]
- 3. [(Confidential, {ASI}), (Secret, {NUC, ASI})]
- (Top Secret, {NUC}) in ranges 1, 2
- (Secret, {NUC, ASI}) in ranges 2, 3
- [(Secret, {ASI}), (Top Secret, {EUR})] not valid range
  - as (Top Secret,  $\{EUR\}$ )  $\neg dom$  (Secret,  $\{ASI\}$ )

# Objects and Tuples

- Objects must have MAC labels
  - May also have MAC label
  - If both, tuple overrides label
- Example
  - Paper has MAC range:[(Secret, {EUR}), (Top Secret, {NUC, EUR})]

# MAC Tuples

- Process can read object when:
  - Object MAC range (*lr*, *hr*); process MAC label *pl*
  - pl dom hr
    - Process MAC label grants read access to upper bound of range
- Example
  - Peter, with label (Secret, {EUR}), cannot read paper
    - (Top Secret, {NUC, EUR}) *dom* (Secret, {EUR})
  - Paul, with label (Top Secret, {NUC, EUR, ASI}) can read paper
    - (Top Secret, {NUC, EUR, ASI}) *dom* (Top Secret, {NUC, EUR})

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# MAC Tuples

- Process can write object when:
  - Object MAC range (lr, hr); process MAC label pl
  - $-pl \in (lr, hr)$ 
    - Process MAC label grants write access to any label in range
- Example
  - Peter, with label (Secret, {EUR}), can write paper
    - (Top Secret, {NUC, EUR}) *dom* (Secret, {EUR}) and (Secret, {EUR}) *dom* (Secret, {EUR})
  - Paul, with label (Top Secret, {NUC, EUR, ASI}), cannot read paper
    - (Top Secret, {NUC, EUR, ASI}) *dom* (Top Secret, {NUC, EUR})

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# Key Points

- Confidentiality models restrict flow of information
- Bell-LaPadula models multilevel security – Cornerstone of much work in computer security