# Key Management

ECS 153 Spring Quarter 2021 Module 15

#### Notation

- $X \rightarrow Y : \{ Z \mid | W \} k_{X,Y}$ 
  - X sends Y the message produced by concatenating Z and W enciphered by key  $k_{X,Y}$ , which is shared by users X and Y
- $A \to T : \{Z\} k_A \mid | \{W\} k_{A,T}$ 
  - A sends T a message consisting of the concatenation of Z enciphered using  $k_A$ , A's key, and W enciphered using  $k_{A,T}$ , the key shared by A and T
- $r_1$ ,  $r_2$  nonces (nonrepeating random numbers)

# Session, Interchange Keys

- Alice wants to send a message m to Bob
  - Assume public key encryption
  - Alice generates a random cryptographic key  $k_s$  and uses it to encipher m
    - To be used for this message only
    - Called a session key
  - She enciphers  $k_s$  with Bob;s public key  $k_B$ 
    - $k_B$  enciphers all session keys Alice uses to communicate with Bob
    - Called an interchange key
  - Alice sends  $\{m\} k_s \{k_s\} k_B$

#### Benefits

- Limits amount of traffic enciphered with single key
  - Standard practice, to decrease the amount of traffic an attacker can obtain
- Prevents some attacks
  - Example: Alice will send Bob message that is either "BUY" or "SELL". Eve computes possible ciphertexts { "BUY" }  $k_B$  and { "SELL" }  $k_B$ . Eve intercepts enciphered message, compares, and gets plaintext at once

# Key Exchange Algorithms

- Goal: Alice, Bob get shared key
  - Key cannot be sent in clear
    - Attacker can listen in
    - Key can be sent enciphered, or derived from exchanged data plus data not known to an eavesdropper
  - Alice, Bob may trust third party
  - All cryptosystems, protocols publicly known
    - Only secret data is the keys, ancillary information known only to Alice and Bob needed to derive keys
    - Anything transmitted is assumed known to attacker

# Symmetric Key Exchange

- Bootstrap problem: how do Alice, Bob begin?
  - Alice can't send it to Bob in the clear!
- Assume trusted third party, Cathy
  - Alice and Cathy share secret key  $k_A$
  - Bob and Cathy share secret key  $k_B$
- Use this to exchange shared key  $k_s$

# Simple Protocol

Alice 
$$\frac{\{ \text{ request for session key to Bob } \} k_A}{}$$
 Cathy

Alice 
$$\{k_s\}k_A \mid \mid \{k_s\}k_B$$
 Cathy

Alice 
$$\longrightarrow$$
 Bob

#### Problems

- How does Bob know he is talking to Alice?
  - Replay attack: Eve records message from Alice to Bob, later replays it; Bob may think he's talking to Alice, but he isn't
  - Session key reuse: Eve replays message from Alice to Bob, so Bob re-uses session key
- Protocols must provide authentication and defense against replay

# Needham-Schroeder

Alice	Alice    Bob    r <sub>1</sub>	Cathy
Alice	{ Alice     Bob     $r_1$     $k_s$     { Alice     $k_s$ } $k_B$ } $k_A$	Cathy
Alice	$\frac{\text{Alice }    k_s \} k_B}{\longrightarrow}$	Bob
Alice	$\{r_2\}k_s$	Bob
	$\{r_2-1\}k_s$	
Alice		Bob

# Argument: Alice talking to Bob

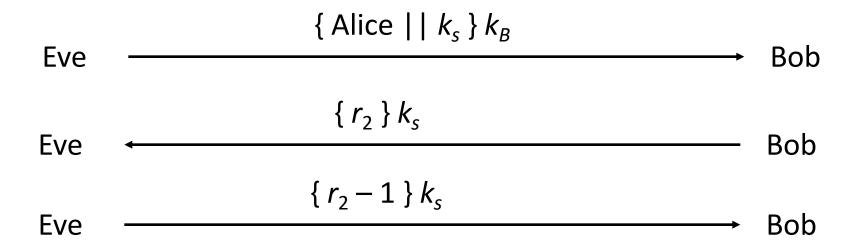
- Second message
  - Enciphered using key only she, Cathy knows
    - So Cathy enciphered it
  - Response to first message
    - As  $r_1$  in it matches  $r_1$  in first message
- Third message
  - Alice knows only Bob can read it
    - As only Bob can derive session key from message
  - Any messages enciphered with that key are from Bob

# Argument: Bob talking to Alice

- Third message
  - Enciphered using key only he, Cathy know
    - So Cathy enciphered it
  - Names Alice, session key
    - Cathy provided session key, says Alice is other party
- Fourth message
  - Uses session key to determine if it is replay from Eve
    - If not, Alice will respond correctly in fifth message
    - If so, Eve can't decipher  $r_2$  and so can't respond, or responds incorrectly

# Denning-Sacco Modification

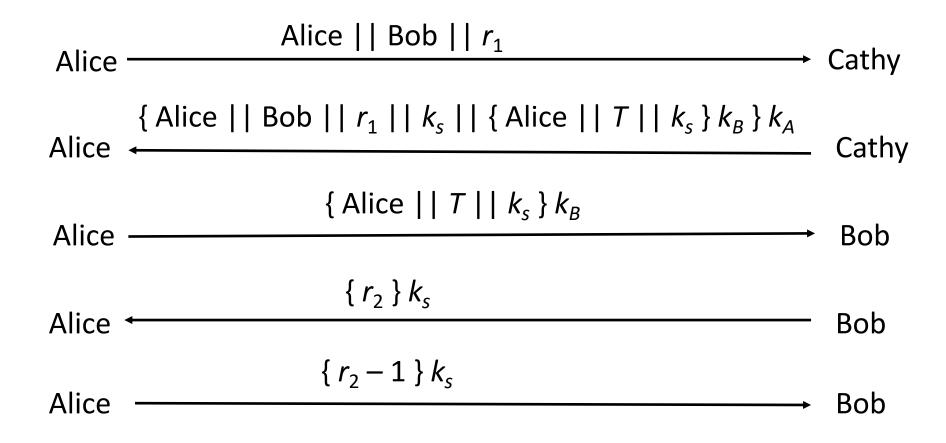
- Assumption: all keys are secret
- Question: suppose Eve can obtain session key. How does that affect protocol?
  - In what follows, Eve knows  $k_s$



#### Problem and Solution

- In protocol above, Eve impersonates Alice
- Problem: replay in third step
  - First in previous slide
- Solution: use time stamp T to detect replay
- Weakness: if clocks not synchronized, may either reject valid messages or accept replays
  - Parties with either slow or fast clocks vulnerable to replay
  - Resetting clock does not eliminate vulnerability

# Needham-Schroeder with Denning-Sacco Modification



#### Kerberos

- Authentication system
  - Based on Needham-Schroeder with Denning-Sacco modification
  - Central server plays role of trusted third party ("Cathy")
- Ticket
  - Issuer vouches for identity of requester of service
- Authenticator
  - Identifies sender

#### Idea

- User *u* authenticates to Kerberos server
  - Obtains ticket  $T_{u,TGS}$  for ticket granting service (TGS)
- User u wants to use service s:
  - User sends authenticator  $A_u$ , ticket  $T_{u,TGS}$  to TGS asking for ticket for service
  - TGS sends ticket  $T_{u,s}$  to user
  - User sends  $A_u$ ,  $T_{u,s}$  to server as request to use s
- Details follow

#### Ticket

- Credential saying issuer has identified ticket requester
- Example ticket issued to user *u* for service *s*

$$T_{u,s} = s \mid \mid \{ u \mid \mid u' \text{s address} \mid \mid \text{valid time} \mid \mid k_{u,s} \} k_s$$

#### where:

- $k_{u,s}$  is session key for user and service
- Valid time is interval for which ticket valid
- u's address may be IP address or something else
  - Note: more fields, but not relevant here

#### Authenticator

- Credential containing identity of sender of ticket
  - Used to confirm sender is entity to which ticket was issued
- Example: authenticator user u generates for service s  $A_{u,s} = \{ u \mid | \text{ generation time } | | k_t \} k_{u,s}$

#### where:

- $k_t$  is alternate session key
- Generation time is when authenticator generated
  - Note: more fields, not relevant here

# Protocol

user -	user    TGS	→ AS
user	$\{k_{u,TGS}\}k_u\mid T_{u,TGS}$	– AS
user	service    A <sub>u,TGS</sub>    T <sub>u,TGS</sub>	→ TGS
	user $   \{ k_{u,s} \} k_{u,TGS}    T_{u,s}$	
user	Λ 11 Τ	— TGS
user	$A_{u,s} \mid \mid T_{u,s}$	→ service
user	$\{t+1\}k_{u,s}$	service

# Analysis

- First two steps get user ticket to use TGS
  - User *u* can obtain session key only if *u* knows key shared with AS
- Next four steps show how u gets and uses ticket for service s
  - Service s validates request by checking sender (using  $A_{u,s}$ ) is same as entity ticket issued to
  - Step 6 optional; used when *u* requests confirmation

#### Problems

- Relies on synchronized clocks
  - If not synchronized and old tickets, authenticators not cached, replay is possible
- Tickets have some fixed fields
  - Dictionary attacks possible
  - Kerberos 4 session keys weak (had much less than 56 bits of randomness);
    researchers at Purdue found them from tickets in minutes

# Public Key Key Exchange

- Here interchange keys known
  - $e_A$ ,  $e_B$  Alice and Bob's public keys known to all
  - $d_A$ ,  $d_B$  Alice and Bob's private keys known only to owner
- Simple protocol
  - $k_s$  is desired session key

Alice 
$$\longrightarrow$$
 Bob

#### Problem and Solution

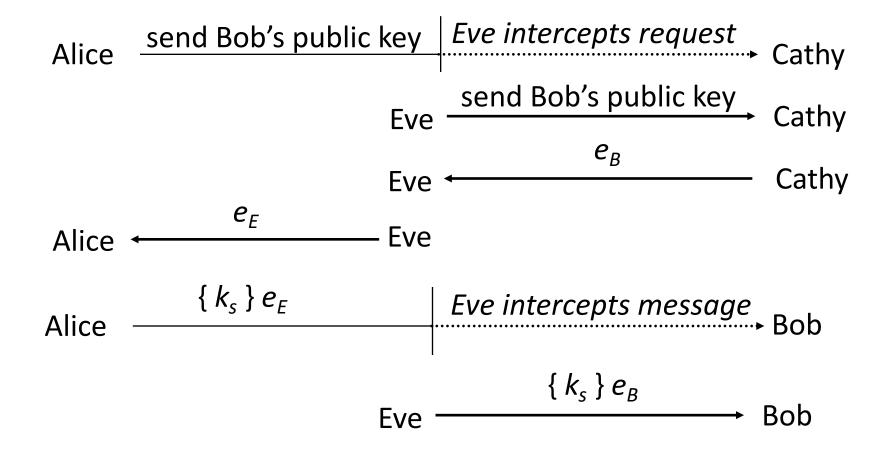
- Vulnerable to forgery or replay
  - Because  $e_B$  known to anyone, Bob has no assurance that Alice sent message
- Simple fix uses Alice's private key
  - $k_s$  is desired session key

Alice 
$$\longrightarrow \{\{k_s\}d_A\}e_B \longrightarrow Bob$$

#### Notes

- Can include message enciphered with  $k_s$
- Assumes Bob has Alice's public key, and vice versa
  - If not, each must get it from public server
  - If keys not bound to identity of owner, attacker Eve can launch a man-in-the-middle attack (next slide; Cathy is public server providing public keys)
    - Solution to this (binding identity to keys) discussed later as public key infrastructure (PKI)

## Man-in-the-Middle Attack



#### Diffie-Hellman

- Compute a common, shared key
  - Called a symmetric key exchange protocol
- Based on discrete logarithm problem
  - Given integers n, g and prime number p, compute k such that  $n = g^k \mod p$
  - Solutions known for small p
  - Solutions computationally infeasible as p grows large

# Algorithm

- Constants: prime p, integer  $g \neq 0$ , 1, p-1
  - Known to all participants
- Alice chooses private key  $k_{Alice}$ , computes public key  $K_{Alice} = g^{k_{Alice}} \mod p$
- Bob chooses private key  $k_{Bob}$ , computes public key  $K_{Bob} = g^{k_{Bob}} \mod p$
- To communicate with Bob, Anne computes  $K_{Alice,Bob} = K_{Bob}^{k_{Alice}} \mod p$
- To communicate with Anne, Bob computes  $K_{\text{Bob,Alice}} = K_{\text{Alice}}^{k_{\text{Bob}}} \mod p$
- It can be shown  $K_{Alice,Bob} = K_{Bob,Alice}$

# Example

- Assume p = 121001 and g = 6981
- Alice chooses  $k_{Alice} = 526784$ 
  - Then  $K_{Alice} = 6981^{26874} \mod 121001 = 22258$
- Bob chooses  $k_{Bob} = 5596$ 
  - Then  $K_{\text{Bob}} = 6981^{5596} \mod 121001 = 112706$
- Shared key:
  - $K_{\text{Bob}}^{k_{\text{Alice}}} \mod p = 112706^{26874} \mod 121001 = 78618$
  - $K_{Alice}^{k_{Bob}} \mod p = 22258^{5596} \mod 121001 = 78618$

# Example (Elliptic Curve Version)

- Alice, Bob agree to use the curve  $y^2 = x^3 + 4x + 14 \mod 2503$  and the point P = (1002, 493); curve has n = 2428 integer points
- Alice chooses  $k_{Alice} = 1379$ 
  - Then  $K_{Alice} = k_{Alice} P \mod p = 1379(1002,493) \mod 2503 = (1041,1659)$
- Bob chooses  $k_{\text{Bob}} = 2011$ 
  - Then  $K_{Bob} = k_{Bob} P \mod p = 2011(1002,493) \mod 2503 = (629,548)$
- Shared key:
  - $K_{\text{Bob}} k_{\text{Alice}} \mod p = 2011(1041,1659) \mod 2503 = (2075,2458)$
  - $K_{Alice} k_{Bob} \mod p = 1379(629,548) \mod 2503 = (2075,2458)$

# Key Generation

- Goal: generate keys that are difficult to guess
- Problem statement: given a set of *k* potential keys, choose one randomly
  - Equivalent to selecting a random number between 0 and k-1 inclusive
- Why is this hard: generating random numbers
  - Actually, numbers are usually pseudorandom, that is, generated by an algorithm

## What is "Random"?

- Sequence of cryptographically random numbers: a sequence of numbers  $n_1$ ,  $n_2$ , ... such that for any integer k > 0, an observer cannot predict  $n_k$  even if all of  $n_1$ , ...,  $n_{k-1}$  are known
- Best: physical source of randomness
  - Random pulses
  - Electromagnetic phenomena
  - Characteristics of computing environment such as disk latency
  - Ambient background noise

## What is "Pseudorandom"?

- Sequence of cryptographically pseudorandom numbers: sequence of numbers intended to simulate a sequence of cryptographically random numbers but generated by an algorithm
- Very difficult to do this well
  - Linear congruential generators  $[x_k = (ax_{k-1} + b) \mod n]$  broken
  - Polynomial congruential generators  $[x_k = (a_j x_{k-1}^j + ... + a_1 x_{k-1} a_0) \mod n]$  broken too
  - Here, "broken" means next number in sequence can be determined

#### Best Pseudorandom Numbers

- Strong mixing function: function of 2 or more inputs with each bit of output depending on some nonlinear function of all input bits
  - Examples: AES, SHA-512, SHA-3
  - Use on UNIX-based systems:

```
(date; ps gaux) | sha512
```

where "ps gaux" lists all information about all processes on system

#### **Biometrics**

- Physical variations cause slight differences in successive biometric readings and so is good source of randomness
  - This causes randomness in the least significant bits of the data
- Biometrics for generating keys tied to individuals
  - Requires: adversary unlikely to determine them, but must be regenerated consistently
- Represent data as bit string (feature descriptor)
  - Transform it in some way
  - Generate cryptographic key from this
  - Add some randomness so if key compromised, a new and different one can be created

# Cryptographic Key Infrastructure

- Goal: bind identity to key
- Symmetric: not possible as all keys are shared
  - Use protocols to agree on a shared key (see earlier)
- Public key: bind identity to public key
  - Crucial as people will use key to communicate with principal whose identity is bound to key
  - Erroneous binding means no secrecy between principals
  - Assume principal identified by an acceptable name

#### Certificates

- Create token (message) containing
  - Identity of principal (here, Alice)
  - Corresponding public key  $e_{\mathsf{Alice}}$
  - Timestamp (when issued)
  - Other information (perhaps identity of signer)

signed by trusted authority (here, Cathy)

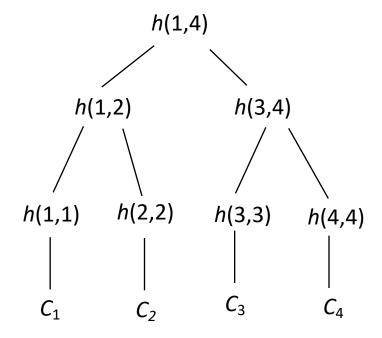
$$C_{\text{Alice}} = \{e_{\text{Alice}} \mid | \text{Alice} \mid | T \} d_{\text{Cathy}}$$

### Use

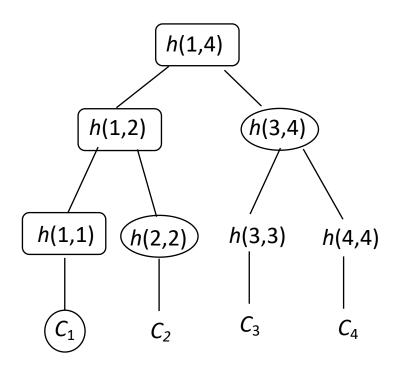
- Bob gets Alice's certificate
  - If he knows Cathy's public key, he can decipher the certificate
    - When was certificate issued?
    - Is the principal Alice?
  - Now Bob has Alice's public key
- Problem: Bob needs Cathy's public key to validate certificate
  - Problem pushed "up" a level
  - Two approaches: Merkle's tree, signature chains

## Merkle's Tree Scheme

- Keep certificates in a file
  - Changing any certificate changes the file
  - Use crypto hash functions to detect this
- Define hashes recursively
  - *h* is hash function
  - *C<sub>i</sub>* is certificate *i*
- Hash of file (h(1,4) in example) known to all



## Validation



- To validate  $C_1$ :
  - Compute *h*(1, 1)
  - Obtain *h*(2, 2)
  - Compute *h*(1, 2)
  - Obtain *h*(3, 4)
  - Compute *h*(1,4)
  - Compare to known h(1, 4)
- Need to know hashes of children of nodes on path that are not computed
- In drawing at left:
  - Circle contains what is to be validated
  - Ovals are what are to be obtained
  - Curved rectangles are what are to be computed

## Details

- $f: D \times D \rightarrow D$  maps bit strings to bit strings
- $h: N \times N \rightarrow D$  maps integers to bit strings

  - if i ≥ j, h(i, j) = f(C<sub>i</sub>, C<sub>j</sub>)
    if i < j, h(i, j) = f(h(i, \( (i+j)/2 \) ), h(\( (i+j)/2 \) +1, j))</li>

## Problem

- File must be available for validation
  - Otherwise, can't recompute hash at root of tree
  - Intermediate hashes would do
- Not practical in most circumstances
  - If any public key changed, validation fails unless tree is updated
  - This includes compromised certificates as well as legitimate public key changes
  - If copies of tree are widely distributed, a change to one must be reflected by all

## Certificate Signature Chains

- Create certificate
  - Generate hash of certificate
  - Encipher hash with issuer's private key
- Validate
  - Obtain issuer's public key
  - Decipher enciphered hash
  - Recompute hash from certificate and compare
- Problem: getting issuer's public key

## X.509 Chains

- Some certificate components in X.509v3:
  - Version
  - Serial number
  - Signature algorithm identifier: hash algorithm
  - Issuer's name; uniquely identifies issuer
  - Interval of validity
  - Subject's name; uniquely identifies subject
  - Subject's public key
  - Signature: enciphered hash

## X.509 Certificate Validation

- Obtain issuer's public key
  - The one for the particular signature algorithm
- Decipher signature
  - Gives hash of certificate
- Recompute hash from certificate and compare
  - If they differ, there's a problem
- Check interval of validity
  - This confirms that certificate is current

#### Issuers

- Certification Authority (CA): entity that issues certificates
  - Multiple issuers pose validation problem
  - Alice's CA is Cathy; Bob's CA is Don; how can Alice validate Bob's certificate?
  - Have Cathy and Don cross-certify by issuing certificates for each other

## Validation and Cross-Certifying

- Notation: X<<Y>> means X issues certificate for Y
- Certificates:
  - Cathy<<Alice>>
  - Dan<<Bob>
  - Cathy<<Dan>>
  - Dan<<Cathy>>
- Alice validates Bob's certificate
  - Alice obtains Cathy<<Dan>>
  - Alice uses (known) public key of Cathy to validate Cathy<<Dan>>
  - Alice uses Cathy<<Dan>> to validate Dan<<Bob>>

### PGP Chains

- OpenPGP certificates structured into packets
  - One public key packet
  - Zero or more signature packets
- Public key packet:
  - Version (3 or 4; 3 compatible with all versions of PGP, 4 not compatible with older versions of PGP)
  - Creation time
  - Validity period (present in version 3 only)
  - Public key algorithm, associated parameters
  - Public key

## OpenPGP Signature Packet

- Version 3 signature packet
  - Version (3)
  - Signature type (level of trust)
  - Creation time (when next fields hashed)
  - Signer's key identifier (identifies key to encipher hash)
  - Public key algorithm (used to encipher hash)
  - Hash algorithm
  - Part of signed hash (used for quick check)
  - Signature (enciphered hash)
- Version 4 packet more complex

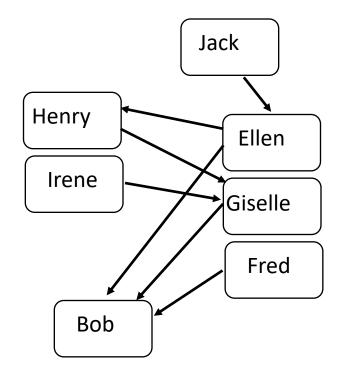
## Signing

- Single certificate may have multiple signatures
- Notion of "trust" embedded in each signature
  - Range from "untrusted" to "ultimate trust"
  - Signer defines meaning of trust level (no standards!)
- All version 4 keys signed by the subject of the certificate
  - Called "self-signing"
  - Version 3 certificates can be too

## Validating Certificates

- Alice needs to validate Bob's OpenPGP cert
  - Does not know Fred, Giselle, or Ellen
- Alice gets Giselle's cert
  - Knows Henry slightly, but his signature is at "casual" level of trust
- Alice gets Ellen's cert
  - Knows Jack, so uses his cert to validate Ellen's, then hers to validate Bob's

Arrows show signatures Self signatures not shown



## Public Key Infrastructures (PKIs)

- An infrastructure that manages public keys and certificate authorities
  - This includes registration authorities and other entities involved in creating and issuing certificates

### Internet X.509 PKI

- End entity certificate: a certificate issued to entities not authorized to issue certificates
- Certificate authority certificate: a certificate issued to a CA
  - Self-issued: issuer, subject are the same entity
  - Self-signed: self-issued certificate in which public key in certificate can be used to validate that certificate's digital signature
- Trust anchor: CA that begins a certificate signature chain
- Cross-certificate: certificate for one CA issued by another CA
- Registration authority: entity delegated the registration task by a CA
  - CA trusts RA to properly identify, authenticate, validate entity

## Certificate Extensions

- Critical: mandatory accept or reject, depending on content
  - If application can't recognize or process it, certificate rejected
- Non-critical: can be ignored if unrecognized
- All conforming CAs must support the following:
  - Authority key identifier: identifies public key used to validate certificate's digital signature
    - Must not be marked critical
  - Subject key identifier: same value of authority key field, but if subject is CA, this must be present
    - Must not be marked critical

## CA Certificate Extension Support

- All conforming CAs must support the following:
  - Key usage: describes purposes for which public key can be used
    - If certificate used to validate digital signatures on certificates, must be present
    - Should be marked critical
  - Basic constraints: identifies whether subject is CA if the certificate can be used to validate another certificate's digital signature, number of intermediate certificates that may follow this one in a chain and that are not self-signed
    - Must be critical if certificate used to validate digital signatures of certificates
    - May be critical or non-critical otherwise
  - Certificate policies: describes policy under which certificate is issued and what it can be used for
    - Should be marked critical

#### **CA Certificate Extensions**

- Authority key identifier eliminates need to try different keys of issuing
  CA to determine whether certificate valid
  - In earlier versions of Internet PKI, this also indicated applicable policy
  - Key usage, certificate policy extensions now do this explicitly
- Key usage makes clear what public key is to be used for
  - Before, assumed valid for any purpose, or embedded in issuer's policy
- Basic constraints limits length of certificate chain beginning here
  - Doesn't include self-signed certificates

# Conforming Applications Certificate Extensions

- Conforming applications that process certificates must recognize:
  - Key usage, certificate policies, basic constraints extensions
  - Subject alternative name: another name for subject; must be verified by CA or RA
    - Must be critical
  - Name constraints: constrains names in subject, subject alternative name of non-self-signed certificates following it in certificate chain
  - *Policy constraints*: controls when policy for chain containing this certificate must be explicit or when policy of issuer need not be same as that of subject
    - Must be critical

# Conforming Applications Certificate Extensions

- Conforming applications that process certificates must recognize:
  - Extended key usage: issuer uses this to specify uses of public key beyond those in the key usage extension
  - Inhibit anyPolicy: wildcard (anyPolicy) matches policies only if it occurs in intermediate self-signed certificate in certificate chain
    - Must be critical
- Subject alternative name allows multiple subject names in certificate
  - Previous versions allowed only one subject name per certificate
- Extended key usage allows public key to be used in ways not identified in key usage

### PKI Problems

#### Basis for any PKI is trust

- Trust that the binding of identity to public key is correct
  - Degree of confidence depends on CA or RA
- Trust that appropriate CA issued the certificate
  - Also that issuance policies are understood
  - Also that implementation of signing, and PKI mechanisms,
- Certificate does not embody authorization
  - Identity may, but that is external to PKI
- Trust that no 2 certificates will have same public (and hence private) key

## Key Revocation

- Certificates invalidated before expiration
  - Usually due to compromised key
  - May be due to change in circumstance (e.g., someone leaving company)
- Problems
  - Entity revoking certificate authorized to do so
  - Revocation information circulates to everyone fast enough
    - Network delays, infrastructure problems may delay information

#### **CRLs**

- Certificate revocation list lists certificates that are revoked
- X.509: only certificate issuer can revoke certificate
  - Added to CRL
- PGP: signers can revoke signatures; owners can revoke certificates, or allow others to do so
  - Revocation message placed in PGP packet and signed
  - Flag marks it as revocation message