Lecture 12

- Policies that change over time
- Policy composition
- Deducible security
- Generalized noninterference
- Restrictiveness
- Information flow
- Entropy

Policies Changing Over Time

- Problem: previous analysis assumes static system
 In real life, ACM changes as system commands issued
- Example: $w \in C^*$ leads to current state
 - cando(w, s, z) holds if s can execute z in current state
 - Condition noninterference on *cando*
 - If ¬*cando*(*w*, Lara, "write *f*"), Lara can't interfere with any other user by writing file *f*

Generalize Noninterference

• $G \subseteq S$ group of subjects, $A \subseteq Z$ set of commands, *p* predicate over elements of C^*

•
$$c_s = (c_1, \dots, c_n) \in C^*$$

- $\pi''(v) = v$
- $\pi''((c_1, ..., c_n)) = (c_1', ..., c_n')$ - $c_i' = v$ if $p(c_1', ..., c_{i-1}')$ and $c_i = (s, z)$ with $s \in G$ and $z \in A$ - $c_i' = c_i$ otherwise

Intuition

- $\pi''(c_s) = c_s$
- But if *p* holds, and element of c_s involves both command in *A* and subject in *G*, replace corresponding element of c_s with empty command v
 - Just like deleting entries from c_s as $\pi_{A,G}$ does earlier

Noninterference

- $G, G' \subseteq S$ groups of subjects, $A \subseteq Z$ set of commands, p predicate over C^*
- Users in *G* executing commands in *A* are noninterfering with users in *G'* under condition *p* iff, for all c_s ∈ C*, all s ∈ G', proj(s, c_s, σ_i) = proj(s, π''(c_s), σ_i)

 Written A,G :| G' if p

Example

• From earlier one, simple security policy based on noninterference:

 $\forall (s \in S) \; \forall (z \in Z)$

 $[\{z\},\{s\}:|S if \neg cando(w,s,z)]$

If subject can't execute command (the ¬*cando* part), subject can't use that command to interfere with another subject

Another Example

• Consider system in which rights can be passed

-
$$pass(s, z)$$
 gives s right to execute z
- $w_n = v_1, ..., v_n$ sequence of $v_i \in C^*$
- $prev(w_n) = w_{n-1}$; $last(w_n) = v_n$

Policy

• No subject *s* can use *z* to interfere if, in previous state, *s* did not have right to *z*, and no subject gave it to *s*

$$\{z\}, \{s\} : |S if$$

$$[\neg cando(prev(w), s, z) \land \\ [cando(prev(w), s', pass(s, z)) \Rightarrow \\ \neg last(w) = (s', pass(s, z))]]$$

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Effect

- Suppose $s_1 \in S$ can execute $pass(s_2, z)$
- For all $w \in C^*$, $cando(w, s_1, pass(s_2, z))$ true
- Initially, $cando(v, s_2, z)$ false
- Let $z' \in Z$ be such that (s_3, z') noninterfering with (s_2, z)

- So for each w_n with $v_n = (s_3, z')$, $cando(w_n, s_2, z) = cando(w_{n-1}, s_2, z)$

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Effect

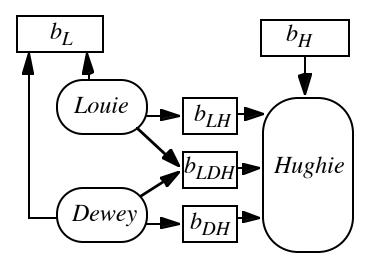
- Then policy says for all $s \in S$ $proj(s, ((s_2, z), (s_1, pass(s_2, z)), (s_3, z'), (s_2, z)), \sigma_i)$ $= proj(s, ((s_1, pass(s_2, z)), (s_3, z'), (s_2, z)), \sigma_i)$
- So *s*₂'s first execution of *z* does not affect any subject's observation of system

Policy Composition I

- Assumed: Output function of input
 - Means deterministic (else not function)
 - Means uninterruptability (differences in timings can cause differences in states, hence in outputs)
- This result for deterministic, noninterference-secure systems

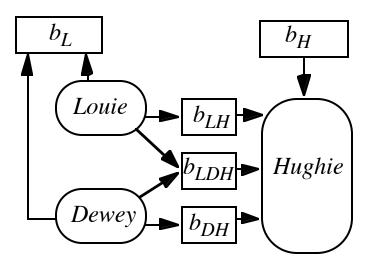
Compose Systems

- Louie, Dewey LOW
- Hughie HIGH
- b_L output buffer
 - Anyone can read it
- b_H input buffer
 - From HIGH source
- Hughie reads from:
 - b_{LH} (Louie writes)
 - b_{LDH} (Louie, Dewey write)
 - b_{DH} (Dewey writes)



Systems Secure

- All noninterferencesecure
 - Hughie has no output
 - So inputs don't interfere with it
 - Louie, Dewey have no input
 - So (nonexistent) inputs don't interfere with outputs



Security of Composition

- Buffers finite, sends/receives blocking: composition *not* secure!
 - Example: assume b_{DH} , b_{LH} have capacity 1
- Algorithm:
 - 1. Louie (Dewey) sends message to $b_{LH}(b_{DH})$
 - Fills buffer
 - 2. Louie (Dewey) sends second message to $b_{LH} (b_{DH})$
 - 3. Louie (Dewey) sends a 0 (1) to b_L
 - 4. Louie (Dewey) sends message to b_{LDH}
 - Signals Hughie that Louie (Dewey) completed a cycle

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Hughie

- Reads bit from b_H
 - If 0, receive message from b_{LH}
 - If 1, receive message from b_{DH}
- Receive on b_{LDH}
 - To wait for buffer to be filled

Example

- Hughie reads 0 from b_H
 Reads message from b_{LH}
- Now Louie's second message goes into b_{LH}
 Louie completes setp 2 and writes 0 into b_L
- Dewey blocked at step 1

– Dewey cannot write to b_L

- Symmetric argument shows that Hughie reading 1 produces a 1 in b_L
- So, input from b_H copied to output b_L

Nondeducibility

- Noninterference: do state transitions caused by high level commands interfere with sequences of state transitions caused by low level commands?
- Really case about inputs and outputs:
 - Can low level subject deduce *anything* about high level outputs from a set of low level outputs?

Example: 2-Bit System

- *High* operations change only *High* bit
 Similar for *Low*
- $\sigma_0 = (0, 0)$
- Commands (Heidi, xor_1), (Lara, xor_0), (Lara, xor_1), (Lara, xor_0), (Heidi, xor_1), (Lara, xor_0)

– Both bits output after each command

• Output is: 00 10 10 11 11 01 01

Security

- Not noninterference-secure w.r.t. Lara
 - Lara sees output as 0001111
 - Delete *High* and she sees 00111
- But Lara still cannot deduce the commands deleted
 - Don't affect values; only lengths
- So it is deducibly secure
 - Lara can't deduce the commands Heidi gave

Event System

- 4-tuple (E, I, O, T)
 - E set of events
 - $I \subseteq E$ set of input events
 - $O \subseteq E$ set of output events
 - *T* set of all finite sequences of events legal within system
- *E* partitioned into *H*, *L*
 - *H* set of *High* events
 - *L* set of *Low* events

More Events ...

- $H \cap I$ set of *High* inputs
- $H \cap O$ set of *High* outputs
- $L \cap I$ set of *Low* inputs
- $L \cap O$ set of *Low* outputs
- T_{Low} set of all possible sequences of *Low* events that are legal within system
- $\pi_L: T \rightarrow T_{Low}$ projection function deleting all *High* inputs from trace
 - *Low* observer should not be able to deduce anything about *High* inputs from trace $t_{Low} \in T_{low}$

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Deducibly Secure

- System deducibly secure if, for every trace $t_{Low} \in T_{Low}$, the corresponding set of high level traces contains every possible trace $t \in T$ for which $\pi_L(t) = t_{Low}$
 - Given any t_{Low} , the trace $t \in T$ producing that t_{Low} is equally likely to be *any* trace with $\pi_L(t) = t_{Low}$

Example

- Back to our 2-bit machine
 - Let xor0, xor1 apply to both bits
 - Both bits output after each command
- Initial state: (0, 1)
- Inputs: $1_H 0_L 1_L 0_H 1_L 0_L$
- Outputs: 10 10 01 01 10 10
- Lara (at *Low*) sees: 001100
 - Does not know initial state, so does not know first input; but can deduce fourth input is 0
- Not deducibly secure

Example

- Now *xor*₀, *xor*₁ apply only to state bit with same level as user
- Inputs: $1_H 0_L 1_L 0_H 1_L 0_L$
- Outputs: 10 11 11 10 11
- Lara sees: 01101
- She cannot deduce *anything* about input - Could be $0_H 0_L 1_L 0_H 1_L 0_L$ or $0_L 1_H 1_L 0_H 1_L 0_L$ for example
- Deducibly secure

Security of Composition

- In general: deducibly secure systems not composable
- Strong noninterference: deducible security

 requirement that no High output occurs
 unless caused by a High input
 - Systems meeting this property *are* composable

Example

- 2-bit machine done earlier does not exhibit strong noninterference
 - Because it puts out *High* bit even when there is no *High* input
- Modify machine to output only state bit at level of latest input

– *Now* it exhibits strong noninterference

Problem

- Too restrictive; it bans some systems that are *obviously* secure
- Example: System *upgrade* reads *Low* inputs, outputs those bits at *High*
 - Clearly deducibly secure: low level user sees no outputs
 - Clearly does not exhibit strong noninterference, as no high level inputs!

Remove Determinism

- Previous assumption
 - Input, output synchronous
 - Output depends only on commands triggered by input
 - Sometimes absorbed into commands ...
 - Input processed one datum at a time
- Not realistic

– In real systems, lots of asynchronous events

Generalized Noninterference

- Nondeterministic systems meeting noninterference property meet *generalized noninterference-secure property*
 - More robust than deducible security because minor changes in assumptions affect whether system is deducibly secure

Example

- System with *High* Holly, *Low* lucy, text file at *High*
 - File fixed size, symbol <u>b</u> marks empty space
 - Holly can edit file, Lucy can run this program:

```
while true do begin
    n := read_integer_from_user;
    if n > file_length or char_in_file[n] = b then
        print random_character;
    else
        print char_in_file[n];
end;
```

Security of System

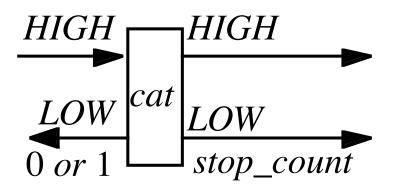
- Not noninterference-secure
 - High level inputs—Holly's changes—affect low level outputs
- *May* be deducibly secure
 - Can Lucy deduce contents of file from program?
 - If output meaningful ("This is right") or close ("Thes is right"), yes
 - Otherwise, no
- So deducibly secure depends on which inferences are allowed

Composition of Systems

- Does composing systems meeting generalized noninterference-secure property give you a system that also meets this property?
- Define two systems (*cat*, *dog*)
- Compose them

First System: cat

- Inputs, outputs can go left or right
- After some number of inputs, *cat* sends two outputs
 - First stop_count
 - Second parity of *High* inputs, outputs

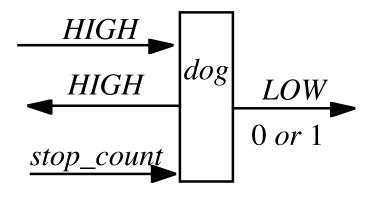


Noninterference-Secure?

- If even number of *High* inputs, output could be:
 - -0 (even number of outputs)
 - 1 (odd number of outputs)
- If odd number of *High* inputs, output could be:
 - 0 (odd number of outputs)
 - 1 (even number of outputs)
- High level inputs do not affect output
 - So noninterference-secure

Second System: dog

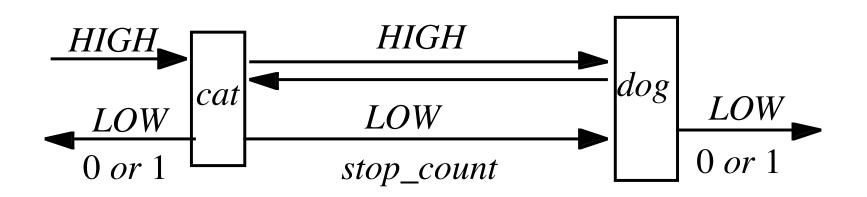
- High outputs to left
- Low outputs of 0 or 1 to right
- *stop_count* input from the left
 - When it arrives, *dog* emits 0 or 1



Noninterference-Secure?

- When *stop_count* arrives:
 - May or may not be inputs for which there are no corresponding outputs
 - Parity of *High* inputs, outputs can be odd or even
 - Hence *dog* emits 0 or 1
- High level inputs do not affect low level outputs
 - So noninterference-secure

Compose Them



- Once sent, message arrives
 - But *stop_count* may arrive before all inputs have generated corresponding outputs
 - If so, even number of *High* inputs and outputs on *cat*, but odd number on *dog*
- Four cases arise

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The Cases

- *cat*, odd number of inputs, outputs; *dog*, even number of inputs, odd number of outputs
 - Input message from *cat* not arrived at *dog*, contradicting assumption
- *cat*, even number of inputs, outputs; *dog*, odd number of inputs, even number of outputs
 - Input message from *dog* not arrived at *cat*, contradicting assumption

The Cases

- cat, odd number of inputs, outputs; dog, odd number of inputs, even number of outputs
 - dog sent even number of outputs to cat, so cat has had at least one input from left
- cat, even number of inputs, outputs; dog, even number of inputs, odd number of outputs
 - dog sent odd number of outputs to cat, so cat has had at least one input from left

The Conclusion

- Composite system *catdog* emits 0 to left, 1 to right (or 1 to left, 0 to right)
 - Must have received at least one input from left
- Composite system *catdog* emits 0 to left, 0 to right (or 1 to left, 1 to right)
 - Could not have received any from left
- So, *High* inputs affect *Low* outputs
 - Not noninterference-secure

Feedback-Free Systems

- System has *n* distinct components
- Components c_i, c_j connected if any output of c_i is input to c_j
- System is *feedback-free* if for all c_i connected to c_j , c_j not connected to any c_i
 - Intuition: once information flows from one component to another, no information flows back from the second to the first

Feedback-Free Security

• *Theorem*: A feedback-free system composed of noninterference-secure systems is itself noninterference-secure

Some Feedback

- *Lemma*: A noninterference-secure system can feed a high level output *o* to a high level input *i* if the arrival of *o* at the input of the next component is delayed until *after* the next low level input or output
- *Theorem*: A system with feedback as described in the above lemma and composed of noninterference-secure systems is itself noninterference-secure

Why Didn't They Work?

- For compositions to work, machine must act same way regardless of what precedes low level input (high, low, nothing)
- *dog* does not meet this criterion
 - If first input is *stop_count*, *dog* emits 0
 - If high level input precedes *stop_count*, *dog* emits 0 or 1

State Machine Model

- 2-bit machine, levels *High*, *Low*, meeting 4 properties:
- 1. For every input i_k , state σ_j , there is an element $c_m \in C^*$ such that $T^*(c_m, \sigma_j) = \sigma_n$, where $\sigma_n \neq \sigma_j$
 - $-T^*$ is total function, inputs and commands always move system to a different state

Property 2

- There is an equivalence relation \equiv such that:
 - If system in state σ_i and high level sequence of inputs causes transition from σ_i to σ_j , then $\sigma_i \equiv \sigma_j$
 - If $\sigma_i \equiv \sigma_j$ and low level sequence of inputs i_1, \ldots, i_n causes system in state σ_i to transition to σ'_i , then there is a state σ'_j such that $\sigma'_i \equiv \sigma'_j$ and the inputs i_1, \ldots, i_n cause system in state σ_j to transition to σ'_j
- \equiv holds if low level projections of both states are same

Property 3

- Let $\sigma_i \equiv \sigma_j$. If high level sequence of outputs o_1, \ldots, o_n indicate system in state σ_i transitioned to state σ_i' , then for some state σ_j' with $\sigma_j' \equiv \sigma_i'$, high level sequence of outputs o_1', \ldots, o_m' indicates system in σ_j transitioned to σ_j'
 - High level outputs do not indicate changes in low level projection of states

Property 4

- Let $\sigma_i \equiv \sigma_j$, let *c*, *d* be high level output sequences, *e* a low level output. If *ced* indicates system in state σ_i transitions to σ'_i , then there are high level output sequences *c*' and *d*' and state σ'_j such that *c'ed*' indicates system in state σ_j transitions to state σ'_j
 - Intermingled low level, high level outputs cause changes in low level state reflecting low level outputs only

Restrictiveness

• System is *restrictive* if it meets the preceding 4 properties

Composition

• Intuition: by 3 and 4, high level output followed by low level output has same effect as low level input, so composition of restrictive systems should be restrictive

Composite System

- System M_1 's outputs are M_2 's inputs
- μ_{1i} , μ_{2i} states of M_1 , M_2
- States of composite system pairs of M₁, M₂ states (μ_{1i}, μ_{2i})
- *e* event causing transition
- *e* causes transition from state (μ_{1a}, μ_{2a}) to state (μ_{1b}, μ_{2b}) if any of 3 conditions hold

Conditions

- 1. M_1 in state μ_{1a} and *e* occurs, M_1 transitions to μ_{1b} ; *e* not an event for M_2 ; and $\mu_{2a} = \mu_{2b}$
- 2. M_2 in state μ_{2a} and *e* occurs, M_2 transitions to μ_{2b} ; *e* not an event for M_1 ; and $\mu_{1a} = \mu_{1b}$
- 3. M_1 in state μ_{1a} and *e* occurs, M_1 transitions to μ_{1b} ; M_2 in state μ_{2a} and *e* occurs, M_2 transitions to μ_{2b} ; *e* is input to one machine, and output from other

Intuition

- Event causing transition in composite system causes transition in at least 1 of the components
- If transition occurs in exactly one component, event must not cause transition in other component when not connected to the composite system

Equivalence for Composite

- Equivalence relation for composite system $(\sigma_a, \sigma_b) \equiv_C (\sigma_c, \sigma_d) \text{ iff } \sigma_a \equiv \sigma_c \text{ and } \sigma_b \equiv \sigma_d$
- Corresponds to equivalence relation in property 2 for component system

Information Flow

- Basics and background
 Entropy
- Nonlattice flow policies
- Compiler-based mechanisms
- Execution-based mechanisms
- Examples
 - Security Pipeline Interface
 - Secure Network Server Mail Guard

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Basics

- Bell-LaPadula Model embodies information flow policy
 - Given compartments A, B, info can flow from A to B iff B dom A
- Variables *x*, *y* assigned compartments <u>*x*</u>, <u>*y*</u> as well as values

- If
$$\underline{x} = A$$
 and $\underline{y} = B$, and $A \text{ dom } B$, then $x := y$
allowed but not $y := x$

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Quick Review of Entropy

- Random variables
- Joint probability
- Conditional probability
- Entropy (or uncertainty in bits)
- Joint entropy
- Conditional entropy
- Applying it to secrecy of ciphers

Random Variable

- Variable that represents outcome of an event
 - *X* represents value from roll of a fair die; probability for rolling n: p(X = n) = 1/6
 - If die is loaded so 2 appears twice as often as other numbers, p(X = 2) = 2/7 and, for $n \neq 2$, p(X = n) = 1/7
- Note: *p*(*X*) means specific value for *X* doesn't matter
 - Example: all values of *X* are equiprobable

Joint Probability

- Joint probability of *X* and *Y*, *p*(*X*, *Y*), is probability that *X* and *Y* simultaneously assume particular values
 - If *X*, *Y* independent, p(X, Y) = p(X)p(Y)
- Roll die, toss coin

-p(X = 3, Y = heads) = p(X = 3)p(Y = heads) =1/6 × 1/2 = 1/12

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Two Dependent Events

- *X* = roll of red die, *Y* = sum of red, blue die rolls
 - p(Y=2) = 1/36 p(Y=3) = 2/36 p(Y=4) = 3/36 p(Y=5) = 4/36p(Y=6) = 5/36 p(Y=7) = 6/36 p(Y=8) = 5/36 p(Y=9) = 4/36p(Y=10) = 3/36 p(Y=11) = 2/36 p(Y=12) = 1/36
- Formula:

$$-p(X=1, Y=11) = p(X=1)p(Y=11) = (1/6)(2/36) =$$

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Conditional Probability

- Conditional probability of X given Y, written p(X | Y), is probability that X takes on a particular value given Y has a particular value
- Continuing example ...

$$-p(Y = 7 | X = 1) = 1/6$$

 $-p(Y = 7 | X = 3) = 1/6$

Relationship

- p(X, Y) = p(X | Y) p(Y) = p(X) p(Y | X)
- Example:

-p(X = 3, Y = 8) = p(X = 3 | Y = 8) p(Y = 8) =(1/5)(5/36) = 1/36

• Note: if *X*, *Y* independent:

$$-p(X \mid Y) = p(X)$$

Entropy

- Uncertainty of a value, as measured in bits
- Example: X value of fair coin toss; X could be heads or tails, so 1 bit of uncertainty
 Therefore entropy of X is H(X) = 1
- Formal definition: random variable *X*, values $x_1, ..., x_n$; so $\Sigma_i p(X = x_i) = 1$ $H(X) = -\Sigma_i p(X = x_i) \lg p(X = x_i)$

Heads or Tails?

- $H(X) = -p(X = \text{heads}) \lg p(X = \text{heads})$ $-p(X = \text{tails}) \lg p(X = \text{tails})$ $= -(1/2) \lg (1/2) - (1/2) \lg (1/2)$ = -(1/2) (-1) - (1/2) (-1) = 1
- Confirms previous intuitive result

n-Sided Fair Die

$$H(X) = -\Sigma_i p(X = x_i) \lg p(X = x_i)$$

As $p(X = x_i) = 1/n$, this becomes
 $H(X) = -\Sigma_i (1/n) \lg (1/n) = -n(1/n) (-\lg n)$
so

 $H(X) = \lg n$

which is the number of bits in n, as expected

Ann, Pam, and Paul

Ann, Pam twice as likely to win as Paul *W* represents the winner. What is its entropy?

-
$$w_1 = Ann, w_2 = Pam, w_3 = Paul$$

- $p(W = w_1) = p(W = w_2) = 2/5, p(W = w_3) = 1/5$

• So
$$H(W) = -\sum_{i} p(W = w_{i}) \lg p(W = w_{i})$$

= $-(2/5) \lg (2/5) - (2/5) \lg (2/5) - (1/5) \lg (1/5)$
= $-(4/5) + \lg 5 \approx 1.52$

• If all equally likely to win, $H(W) = \lg 3 = 1.58$

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Joint Entropy

- *X* takes values from { $x_1, ..., x_n$ } - $\sum_i p(X = x_i) = 1$
- *Y* takes values from $\{y_1, \dots, y_m\}$ - $\sum_i p(Y = y_i) = 1$
- Joint entropy of X, Y is: $-H(X, Y) = -\sum_{j} \sum_{i} p(X=x_{i}, Y=y_{j}) \log p(X=x_{i}, Y=y_{j})$

Example

X: roll of fair die, Y: flip of coin p(X=1, Y=heads) = p(X=1) p(Y=heads) = 1/12 - As X and Y are independent $H(X, Y) = -\sum_{j} \sum_{i} p(X=x_{i}, Y=y_{j}) \lg p(X=x_{i}, Y=y_{j})$ $= -2 [6 [(1/12) \lg (1/12)]] = \lg 12$

Conditional Entropy

- X takes values from $\{x_1, \dots, x_n\}$ - $\sum_i p(X=x_i) = 1$
- *Y* takes values from $\{y_1, \dots, y_m\}$ - $\sum_i p(Y=y_i) = 1$
- Conditional entropy of X given $Y=y_j$ is: - $H(X | Y=y_j) = -\sum_i p(X=x_i | Y=y_j) \log p(X=x_i | Y=y_j)$
- Conditional entropy of X given Y is: $- H(X | Y) = -\sum_{j} p(Y=y_{j}) \sum_{i} p(X=x_{i} | Y=y_{j}) \log p(X=x_{i} | Y=y_{j})$

Example

- *X* roll of red die, *Y* sum of red, blue roll
- Note p(X=1 | Y=2) = 1, p(X=i | Y=2) = 0 for i ≠ 1
 If the sum of the rolls is 2, both dice were 1
- $H(X|Y=2) = -\sum_{i} p(X=x_i | Y=2) \lg p(X=x_i | Y=2) = 0$

• Note
$$p(X=i, Y=7) = 1/6$$

- If the sum of the rolls is 7, the red die can be any of 1,
 ..., 6 and the blue die must be 7–roll of red die
- $H(X|Y=7) = -\sum_{i} p(X=x_{i} | Y=7) \lg p(X=x_{i} | Y=7)$ = -6 (1/6) lg (1/6) = lg 6

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Perfect Secrecy

- Cryptography: knowing the ciphertext does not decrease the uncertainty of the plaintext
- $M = \{ m_1, \dots, m_n \}$ set of messages
- $C = \{ c_1, \dots, c_n \}$ set of messages
- Cipher c_i = E(m_i) achieves perfect secrecy if H(M | C) = H(M)

Entropy and Information Flow

- Idea: info flows from *x* to *y* as a result of a sequence of commands *c* if you can deduce information about *x* before *c* from the value in *y* after *c*
- Formally:
 - -s time before execution of c, t time after
 - $-H(x_s \mid y_t) < H(x_s \mid y_s)$
 - If no y at time s, then $H(x_s | y_t) < H(x_s)$

Example 1

• Command is x := y + z; where:

 $-0 \le y \le 7$, equal probability

-z = 1 with prob. 1/2, z = 2 or 3 with prob. 1/4 each

• *s* state before command executed; *t*, after; so

$$- H(y_s) = H(y_t) = -8(1/8) \lg (1/8) = 3$$

- H(z_s) = H(z_t) = -(1/2) lg (1/2) -2(1/4) lg (1/4) = 1.5

• If you know x_t , y_s can have at most 3 values, so H $(y_s | x_t) = -3(1/3) \lg (1/3) = \lg 3$

Example 2

• Command is

$$-$$
 if $x = 1$ then $y := 0$ else $y := 1$;

where:

-x, y equally likely to be either 0 or 1

- $H(x_s) = 1$ as x can be either 0 or 1 with equal probability
- $H(x_s | y_t) = 0$ as if $y_t = 1$ then $x_s = 0$ and vice versa - Thus, $H(x_s | y_t) = 0 < 1 = H(x_s)$
- So information flowed from *x* to *y*

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