# Outline for May 18, 2000

## 1. Greetings and felicitations!

a. A bit about the penetration stuff for homework 3

## 2. Verifiably Secure Systems

- a. Review notion of reference monitor
- b. Review notion of "trusted path"
- c. Isolate all control functions into a small nucleus called a "security kernel"
- d. Review Levels of Abstraction

## 3. UCLA Secure UNIX

- a. Each user process in separate domain, with 2 part
- b. Application program runs in user mode
- c. UNIX interface and Kernel Interface SubSystem run in supervisor mode
- d. Protection domain represented by a C-List
- e. Policy manager establishes policies for kernel objects, shared files
- f. Dialoguer establishes trusted path between user, kernel

### 4. Verification

- a. Top level specification
- b. Abstract level specification
- c. Low level specification
- d. Code satisfying specifications: formulate specs in terms of abstract machines with states and transitions such that protected objects may be modified or read *only* by explicit request; and all accesses must be authorized
- e. Verify code implementations satisfies low level specs, all levels consistent

#### KSOS

- a. Kernel is an operating system, not a security kernel
- b. Enforces access control policy, including multi-level security
- c. Handles files, type extensions à la DOS and TOPS-20
- d. UNIX emulator, "trusted" non-lernel system software run in supervisor mode

# 6. PSOS

- a. Capabilities at lowest level used for addressing
- b. 15 layers; all below 8 invisible at user interface, except level 4 (basic operations)
- c. PSOS Hierarchy
  - 16. Command interpreter
  - 15. User environments and name space
  - 14. User input/output
  - 13. Procedure records
  - 12. User processes and visible input/output
  - 11. Creation, deletion of user objects
  - 10. Directories
  - 9. Abstract data types
  - 8. Virtual memory (segmentation)
  - 7. Paging
  - 6. System processes and system input/output
  - 5. Primitive input/output
  - 4. Basic arithmetic and logical operations
  - 3. Clocks
  - 2. Interrupts
  - 1. Real memory
  - 0. Capabilities

#### 7. Verification

- a. Hierarchical Decomposition Methodology breaks system into hierarchy or abstract machines
- b. Specify each module in SPECIAL
- Functions: primitive V-functions give value of state variable derived V-functions give values computed from state values
  - O-functions cause state transitions
  - OV-functions do both

## 8. Methodology

- a. Interface definition; decomposed into set of modules each of which manages some system object (collection of V, O functions); general security requirements formulated (Detection Principle, Alteration Principle)
- b. Hierarchical Decomposition: modules arranged in linear hierarchy; consistency of structure, function names verified
- Module Specification: develop formal specs for each module; verify internal consistency, global assertions
  including representation of general security requirements (which are the PSOS principles expressed in
  terms of read/write capabilities)
- d. Mapping functions; define these to describe the state space at one level in terms of lower level and verify consistency of mapping functions with respect to specifications, modular decomposition
- e. Implementation: implement, verify modules as you go

## 9. VAX VMM Security Kernel

- a. VM monitor for the VAX; can run VMS or Ultrix, but is itself a security kernel
- b. Design: present VAX architecture
- c. Compress rings: Real: user, supervisor, executive, kernel; VM user, supervisor, VM executive, VM kernel, forbidden
- d. Subjects: users, VMs; servers run in VM kernel, and only run kernel software; can't run user code
- e. Objects: flat file system for kernel, each VM has its own file system
- f. Access classes: security, integrity levels form access class; A = B iff security, integrity levels and classes the same; A > B iff A > B for *both* integrity and security (> dominates)
- g. Layered design:
  - 16. Users
  - 15. VMOS (virtual machine OS)
  - 14. Secure Server layer (trusted path for security kernel)
  - 13. Virtual VAX layer (emulates sensitive instructions, interrupts, exceptions, etc.)
  - 12. Kernel interface layer (virtual controllers for the virtual I/O devices)
  - 11. Virtual printers layer (implements virtual printers for each VM)
  - 10. Virtual terminals layer
  - 9. Volumes layer (VAX Security kernel file columes; registries of all subkects, objects)
  - 8. Files-11 Files layer implements subset of a file system used in the VMS operating system; all files must be preallocated and contiguous)
  - 7. Auditing layer
  - 6. High-level scheduler
  - 5. VM Virtual memory layer (shadow page tables, etc.)
  - 4. VM Physical layer (manages physical memory)
  - 3. I/O Services layer (implements real I/O)
  - 2. Lower Level Scheduler
  - 1. Hardware Interrupt Handler layer (interrupt handlers for the physical I/O controllers)